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# TODORČEVIĆ'S FRAGMENTS OF MARTIN'S AXIOM AND VARIATIONS OF UNIFORMIZATIONS OF LADDER SYSTEM COLORINGS

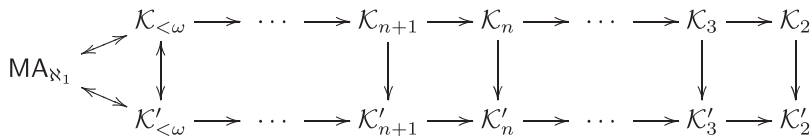
TERUYUKI YORIOKA

## INTRODUCTION

In this article, we introduce parametrized versions of Devlin-Shelah's assertion about uniformizations of ladder system colorings. For a subset  $\mathcal{S}$  of the power set of  $\omega_1 \cap \text{Lim}$ ,  $\text{U}(\mathcal{S})$  is the assertion that, for any coloring  $\langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  of the ladder system  $\langle C'_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$ , there exist  $S \in \mathcal{S}$  and a function from  $\omega_1$  into  $\omega$  which uniformizes the restricted coloring  $\langle f_\alpha : \alpha \in S \rangle$ . Devlin-Shelah's original assertion is the assertion  $\text{U}(\{\omega_1 \cap \text{Lim}\})$ . This follows from  $\text{MA}_{\aleph_1}$ , and is equivalent to the existence of non-free Whitehead group. The axiom  $\mathcal{K}'_2$ , which is one of Todorćević's fragments of Martin's Axiom, implies the assertion  $\text{U}([\omega_1 \cap \text{Lim}]^{\aleph_1})$ . Todorćević-Veličković pointed out that  $\mathcal{K}'_4$  implies  $\text{U}(\{\omega_1 \cap \text{Lim}\})$ . We show that the axiom  $\mathcal{K}_3$  implies the assertion  $\text{U}(\text{stat})$ , and similarly,  $\mathcal{K}'_4$  implies  $\text{U}(\text{club})$ . By Larson-Todorćević's result, it is shown that it is consistent that  $\text{U}([\omega_1 \cap \text{Lim}]^{\aleph_1})$  holds and  $\text{U}(\text{club})$  fails

## 1. BACKGROUND AND PRELIMINARIES

**1.1. Todorćević's fragments of Martin's Axiom.** In 1980s, Todorćević investigated Martin's Axiom from the view point of Ramsey theory, and introduced the following fragments of Martin's Axiom:  $\mathcal{K}_{<\omega}$  denotes the assertion that every ccc forcing notion has precaliber  $\aleph_1$ ;  $\mathcal{K}_n$  denotes the assertion that every ccc forcing notion has the property  $K_n$ ;  $\mathcal{K}'_{<\omega}$  denotes the assertion that every ccc partition  $K_0 \cup K_1 = [\omega_1]^{<\aleph_0}$  has an uncountable  $K_0$ -homogeneous set;  $\mathcal{K}'_n$  denotes the assertion that every ccc partition  $K_0 \cup K_1 = [\omega_1]^n$  has an uncountable  $K_0$ -homogeneous set.\*<sup>1</sup> The following diagram is a summary of implications of these fragments of  $\text{MA}_{\aleph_1}$ . The triangle on the left side of the diagram is Todorćević-Veličković theorem [11, COROLLARY 2.7].



It is not known whether any other implications in this diagram hold under ZFC.

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\*<sup>1</sup>They are defined by Todorćević in several papers. In [5, Definition 4.9] and [11, §2],  $\mathcal{K}_n$ 's are defined as assertions for ccc forcing notions, however in [6, §4] and [8, §7],  $\mathcal{K}_n$ 's are defined as assertions for ccc partitions. To separate them, we use the notations as above. These notations are same to ones in [12].

Larson-Todorčević introduced a property of ccc partitions on  $[\omega_1]^2$ , called the rectangle refining property, and introduced the assertion  $\mathcal{K}'_2(\text{rec})$  that every partition on  $[\omega_1]^2$  with the rectangle refining property has an uncountable homogeneous set. Larson-Todorčević proved that it is consistent that a Suslin tree can force  $\mathcal{K}'_2(\text{rec})$  [6]. More precisely, they introduced the assertion  $\text{MA}_{\aleph_1}(S)$  which asserts that there exists a coherent Suslin tree  $S$  such that the forcing axiom for all ccc forcing notions which preserves  $S$  to be Suslin holds, and showed that, under  $\text{MA}_{\aleph_1}(S)$ ,  $S$  forces  $\mathcal{K}'_2(\text{rec})$ . In [13], the author developed their result to  $\mathcal{K}_{<\omega}(\text{rec})$  in some sense, that is, under  $\text{MA}_{\aleph_1}(S)$ ,  $S$  forces  $\mathcal{K}_{<\omega}(\text{rec})$  in some sense.

**1.2. Uniformizations of ladder system colorings.** The notion of uniformization of a ladder system coloring was introduced by Devlin-Shelah, in order to study the non-free Whitehead groups [2]. The following (4) is a parametrized version of their assertion introduced in [2, 5.2 THEOREM].

**Definition 1.1.** (1) A ladder system on  $\omega_1$  is a sequence  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  such that, for each  $\alpha \in \omega_1 \cap \text{Lim}$ ,  $C_\alpha$  is an unbounded subset of  $\alpha$  and the order type of  $C_\alpha$  is  $\omega$ .  
 (2) A coloring of a ladder system  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  is a sequence  $\langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  such that, for each  $\alpha \in \omega_1 \cap \text{Lim}$ ,  $f_\alpha$  is a function from  $C_\alpha$  into  $\omega$ .  
 (3) For each coloring  $\langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  of a ladder system  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  and a subset  $S$  of  $\omega_1$ , a function  $\varphi$  from  $\omega_1$  into  $\omega$  uniformizes the restricted coloring  $\langle f_\alpha : \alpha \in S \rangle$  if for every  $\alpha \in S$ ,  $f_\alpha$  and  $\varphi \upharpoonright C_\alpha$  are almost equal, that is, the set

$$\{\xi \in C_\alpha : f_\alpha(\xi) \neq \varphi(\xi)\}$$

is finite.

(4) For a subset  $\mathcal{S}$  of the power set of  $\omega_1 \cap \text{Lim}$ ,  $\text{U}(\mathcal{S})$  is the assertion that, for any coloring  $\langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  of a ladder system  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$ , there exist  $S \in \mathcal{S}$  and a function from  $\omega_1$  into  $\omega$  which uniformizes the restricted coloring  $\langle f_\alpha : \alpha \in S \rangle$ .

Devlin-Shelah introduced the assertion  $\text{U}(\{\omega_1 \cap \text{Lim}\})$  in [2, 5.2 THEOREM]. They pointed out that  $\text{U}(\{\omega_1 \cap \text{Lim}\})$  is a sufficient condition of the existence of a non-free Whitehead group [2, §6]. Moreover, Eklof-Shelah showed that  $\text{U}(\{\omega_1 \cap \text{Lim}\})$  is equivalent to the existence of a non-free Whitehead group [4, [3, Ch. XIII].

For any nonstationary subset  $N$  of  $\omega_1 \cap \text{Lim}$ , one can prove the assertion  $\text{U}(\{N\})$  from ZFC [3, Ch. II Exercise 20 (a)]. Devlin-Shelah showed that  $\text{MA}_{\aleph_1}$  implies  $\text{U}(\{\omega_1 \cap \text{Lim}\})$  [2, 5.2 THEOREM]. It follows from their proof that  $\mathcal{K}'_2$  implies  $\text{U}([\omega_1 \cap \text{Lim}]^{\aleph_1})$ . In [13], the author proved that  $\mathcal{K}'_2(\text{rec})$  implies the assertion  $\text{U}([\omega_1 \cap \text{Lim}]^{\aleph_1})$ . Todorčević-Veličković pointed out that  $\text{U}(\{\omega_1 \cap \text{Lim}\})$  is followed from  $\mathcal{K}'_4$  [11, §2].

On the other hand, Larson-Todorčević essentially proved that a Suslin tree forces the negation of the assertion  $\text{U}(\text{club})$ , where  $\text{club}$  stands for the set of all club subsets of  $\omega_1 \cap \text{Lim}$  [5, THEOREM 6.2]. Therefore, it is proved that under  $\text{MA}_{\aleph_1}(S)$ ,  $S$  forces that  $\text{U}([\omega_1 \cap \text{Lim}]^{\aleph_1})$  holds and  $\text{U}(\text{club})$  fails. The author does not know whether  $\text{U}(\text{club})$  implies  $\text{U}(\{\omega_1 \cap \text{Lim}\})$ .

In the next section, it is proved that  $\mathcal{K}'_3$  implies  $\mathbf{U}(\mathbf{stat})$ , where  $\mathbf{stat}$  stands for the set of all stationary subsets of  $\omega_1 \cap \text{Lim}$ . By a similar argument, it is proved that  $\mathcal{K}'_4$  implies  $\mathbf{U}(\mathbf{stat})$ .

## 2. $\mathcal{K}'_3$ IMPLIES $\mathbf{U}(\mathbf{stat})$

In this section, we prove the title of the section. Here, for ordinals  $\alpha$ ,  $\beta$  and  $\gamma$ , we write  $\{\alpha, \beta\}_<$ , or  $\{\alpha, \beta, \gamma\}_<$ , when  $\alpha < \beta$ , or  $\alpha < \beta < \gamma$ .

Let  $\langle e_\alpha : \alpha \in \omega_1 \rangle$  be a sequence such that

- each  $e_\alpha$  is an injective function from  $\alpha$  into  $\omega$ , and
- $\langle e_\alpha : \alpha \in \omega_1 \rangle$  is a coherent sequence, that is, for each  $\alpha, \beta \in \omega_1$  with  $\alpha < \beta$ , the set

$$\{\xi \in \alpha : e_\beta(\xi) \neq e_\alpha(\xi)\}$$

is finite [7, 9, 10].

Let  $\langle r_\alpha : \alpha \in \omega_1 \rangle$  be an injective sequence of members of the set  ${}^\omega 2$ . For each  $\alpha, \beta \in \omega_1$  with  $\alpha < \beta$ , and each  $n \in \omega$ , define

$$\sigma(\alpha, \beta) := \min \{n \in \omega : r_\alpha(n) \neq r_\beta(n)\},$$

$$F_n(\beta) := \{\xi \in \beta : e_\beta(\xi) \leq n\} \cup \{\beta\},$$

and

$$b(\alpha, \beta) := \min (F_{\sigma(\alpha, \beta)}(\beta) \setminus \alpha).$$

(See e.g. [7, §6].) Then, similar to [11, THEOREM 2.1], the following is proved.

**Lemma 2.1.** *Let  $X$  be an uncountable subset of  $\omega_1$  and  $M$  a countable elementary submodel of  $H_{\aleph_2}$  such that the set*

$$\{\langle e_\alpha : \alpha \in \omega_1 \rangle, \langle r_\alpha : \alpha \in \omega_1 \rangle, X\}$$

*belongs to the model  $M$ . Then, for any  $\beta \in X \setminus M$ , there exists  $\alpha \in X \cap M$  such that  $b(\alpha, \beta) = \omega_1 \cap M$  and, for any  $\xi \in \beta \setminus \alpha$ ,  $e_\beta(\xi) = e_{\omega_1 \cap M}(\xi)$ .*

*Proof.* Let  $\beta \in X \cap M$ . Since the sequence  $\langle r_\alpha : \alpha \in \omega_1 \rangle$  is injective and  $X$  is uncountable, we can find  $\alpha' \in X \setminus (\{\beta\} \cup M)$  such that

$$r_{\alpha'} \upharpoonright e_\beta(\omega_1 \cap M) = r_\beta \upharpoonright e_\beta(\omega_1 \cap M).$$

(Here, we do not mind whether  $\alpha'$  is less than  $\beta$  or not.) We should notice that there exist uncountably many such  $\alpha'$ . Define  $n := \sigma(\beta, \alpha')$  (or  $\sigma(\alpha', \beta)$ ). Then we notice that  $e_\beta(\omega_1 \cap M) \leq n$ . Since the function  $e_\beta$  is injective and the sequence  $\langle e_\alpha : \alpha \in \omega_1 \rangle$  is coherent, we can find  $\gamma \in \omega_1 \cap M$  such that for any  $\xi \in \beta \setminus \gamma$ ,

$$e_{\omega_1 \cap M}(\xi) = e_\beta(\xi) > n.$$

By elementarity of  $M$ , we can find  $\alpha \in (X \cap M) \setminus \gamma$  that is a copy of  $\alpha'$ , which means here that  $\alpha \geq \gamma$  and  $r_\alpha \upharpoonright (n+1) = r_{\alpha'} \upharpoonright (n+1)$ . Then

$$\sigma(\alpha, \beta) = \sigma(\beta, \alpha') = n.$$

Therefore  $b(\alpha, \beta) = \omega_1 \cap M$ . □

The following is the main preliminary lemma of the proof.

**Lemma 2.2.** Let  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  be a ladder system,  $\{\eta_n^\alpha : n \in \omega\}$  the increasing enumeration of  $C_\alpha$  for each  $\alpha \in \omega_1 \cap \text{Lim}$ ,  $I$  an uncountable subset of the set  $[\omega_1]^{<\aleph_0}$ ,  $\kappa$  an enough large regular cardinal,  $M$  a countable elementary submodel of  $H_\kappa$  that contains the set

$$\{\langle e_\alpha : \alpha \in \omega_1 \rangle, \langle r_\alpha : \alpha \in \omega_1 \rangle, \langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle, I, H_{\aleph_2}\},$$

and  $\tau \in I \setminus M$ . Then there exists  $J \in [I]^{\aleph_1} \cap M$  such that, for every  $\nu \in J \cap M$ ,

- (1)  $\nu$  is an end-extension of  $\tau \cap M$ , that is,  $\tau \cap M \subseteq \nu$  and  $\min(\nu \setminus (\tau \cap M)) > \max(\tau \cap M)$ ,
- (2) for any  $\{\alpha, \beta\}_<$  and  $\{\gamma, \delta\}_<$  in the set  $[\nu \cup \tau]^2$ , if  $\{\alpha, \beta, \gamma, \delta\} \not\subseteq \nu$  and  $\{\alpha, \beta, \gamma, \delta\} \not\subseteq \tau$  and  $\{b(\alpha, \beta), b(\gamma, \delta)\} \subseteq \text{Lim}$ , then

$$\begin{aligned} & \max \left( \left\{ \eta_n^{b(\alpha, \beta)} : n \geq e_\beta(\alpha) \right\} \cap \left\{ \eta_n^{b(\gamma, \delta)} : n \geq e_\delta(\gamma) \right\} \right) \\ & < \max \left( \bigcup \{ C_{b(\alpha', \beta')} \cap M : \{\alpha', \beta'\}_< \in [\tau]^2, b(\alpha', \beta') \geq \omega_1 \cap M \} \right). \end{aligned}$$

*Proof.* By simplifying the argument, for each  $\gamma \in \omega_1 \setminus \text{Lim}$ , we define  $C_\gamma := \{\gamma - 1\}$  and  $\eta_n^\gamma := \gamma - 1$  for every  $n \in \omega$ . Define

$$L_0 := \{b(\alpha, \beta) : \{\alpha, \beta\}_< \in [\tau \setminus M]^2\}$$

and

$$L_1 := \left\{ \min(F_{\sigma(\alpha, \beta)}(\beta) \cap [(\omega_1 \cap M) + 1, \beta]) : \beta \in \tau \setminus M, \alpha \in \tau \cap \beta \right\},$$

where

$$[(\omega_1 \cap M) + 1, \beta] := \{\xi \in \beta + 1 : (\omega_1 \cap M) + 1 \leq \xi\}.$$

We notice that

$$(L_0 \cup L_1) \cap M = \emptyset.$$

Take a number  $\overline{m} \in \omega$  such that

- for any  $\delta \in L_0 \cup L_1$ ,  $\{\eta_n^\delta : n \geq \overline{m}\} \cap M = \emptyset$ ,
- the set  $\{\{\eta_n^\delta : n \geq \overline{m}\} : \delta \in \{\omega_1 \cap M\} \cup L_0 \cup L_1\}$  is pairwise disjoint,
- for any  $\delta \in \{\omega_1 \cap M\} \cup L_0 \cup L_1$  and any  $\{\alpha, \beta\}_< \in [\tau]^2$ , if  $b(\alpha, \beta) \in \text{Lim} \setminus \{\delta\}$ , then

$$\left\{ \eta_n^{b(\alpha, \beta)} : n \geq e_\beta(\alpha) \right\} \cap \{\eta_n^\delta : n \geq \overline{m}\} = \emptyset,$$

- $\overline{m} > \max\{e_\beta(\alpha), e_\beta(\omega_1 \cap M) : \beta \in \tau \setminus M, \alpha \in \tau \cap \beta\}$ , and
- $\overline{m} > \max\{\sigma(\alpha, \beta) : \{\alpha, \beta\}_< \in [\tau]^2\}$ .

Next, take an ordinal  $\overline{\xi} \in \omega_1 \cap M$  such that

- $\tau \cap M \subseteq \overline{\xi}$ ,
- for any  $\{\alpha, \beta\}_< \in [\tau]^2$ ,  
if  $b(\alpha, \beta) < \omega_1 \cap M$ , then  $b(\alpha, \beta) < \overline{\xi}$ , and  
if  $b(\alpha, \beta) \in \text{Lim} \setminus M$ , then

$$C_{b(\alpha, \beta)} \cap M = C_{b(\alpha, \beta)} \cap \overline{\xi},$$

and

- for any  $\beta \in \tau \setminus M$  and any  $\zeta \in (\omega_1 \cap M) \setminus \overline{\xi}$ ,  $e_\beta(\zeta) > \overline{m}$ .

Then we notice that

$$\max \left( \bigcup \{ C_{b(\alpha, \beta)} \cap M : \{\alpha, \beta\}_< \in [\tau]^2, b(\alpha, \beta) \geq \omega_1 \cap M \} \right) < \overline{\xi}.$$

Let  $\{\beta_i^\tau : i \in n\}$  be the increasing enumeration of the set  $\tau \setminus M$ . Define

$$J := \left\{ \nu \in I : \begin{array}{l} \bullet \nu \cap \bar{\xi} = \tau \cap M, \\ \bullet |\nu \setminus \bar{\xi}| = n, \text{ and let } \{\beta_i^\nu : i \in n\} \text{ be the increasing enumeration of the} \\ \text{set } \nu \setminus \bar{\xi}, \\ \bullet \text{ for each } \alpha \in \tau \cap M \text{ and } i \in n, \\ \quad C_{b(\alpha, \beta_i^\nu)} \cap \bar{\xi} = C_{b(\alpha, \beta_i^\tau)} \cap \bar{\xi}, \\ \bullet \text{ for each } \{i, j\}_< \in [n]^2, C_{b(\beta_i^\nu, \beta_j^\nu)} \cap \bar{\xi} = C_{b(\beta_i^\tau, \beta_j^\tau)} \cap \bar{\xi}, \text{ and} \\ \bullet \text{ for each } i \in n, r_{\beta_i^\nu} \upharpoonright \bar{m} = r_{\beta_i^\tau} \upharpoonright \bar{m} \end{array} \right\}.$$

Since  $\tau \in J \in M$  and  $\tau \notin M$ ,  $J$  is uncountable. Moreover, we notice that, for any  $\nu \in J$ ,

$$\bar{m} > \max \{ \sigma(\alpha, \beta) : \{\alpha, \beta\}_< \in [\nu \cup \tau]^2 \}.$$

Let  $\nu \in J$ . Show that  $\nu$  satisfies the condition (2) of the lemma.

Let  $\alpha \in \tau \cap M (= \nu \cap M)$  and  $i \in n$ . Then

$$C_{b(\alpha, \beta_i^\tau)} \cap \bar{\xi} = C_{b(\alpha, \beta_i^\nu)} \cap \bar{\xi}.$$

Moreover,

either both  $b(\alpha, \beta_i^\tau) < \omega_1 \cap M$  and  $b(\alpha, \beta_i^\tau) < \bar{\xi}$  hold,

or both  $b(\alpha, \beta_i^\tau) \in \text{Lim} \setminus M$  and  $C_{b(\alpha, \beta_i^\tau)} \cap M = C_{b(\alpha, \beta_i^\tau)} \cap \bar{\xi}$  hold.

Therefore, for any  $\alpha, \alpha' \in \tau \cap M$  and any  $i, i' \in n$  with  $\langle \alpha, i \rangle \neq \langle \alpha', i' \rangle$ , the pair of the sets  $\{\alpha, \beta_i^\nu\}$  and  $\{\alpha', \beta_{i'}^\nu\}$  satisfies the condition (2).

Let  $\{i, j\} \in [n]^2$ . Then

$$C_{b(\beta_i^\nu, \beta_j^\nu)} \cap \bar{\xi} = C_{b(\beta_i^\tau, \beta_j^\tau)} \cap \bar{\xi}.$$

Therefore, by a similar observation in the previous paragraph, for any  $\{i, j\} \in [n]^2$ , the pair of the sets  $\{\beta_i^\nu, \beta_j^\nu\}$  and  $\{\beta_i^\tau, \beta_j^\tau\}$  satisfies the condition (2).

Let  $i \in n$ . Then, for any  $\zeta \in [\beta_i^\nu, \omega_1 \cap M)$ ,

$$e_{\beta_i^\tau}(\zeta) > \bar{m} \geq \sigma(\beta_i^\nu, \beta_i^\tau).$$

Moreover, in this case,

$$\sigma(\beta_i^\nu, \beta_i^\tau) \geq e_{\beta_i^\tau}(\omega_1 \cap M).$$

Hence then,  $b(\beta_i^\nu, \beta_i^\tau) = \omega_1 \cap M$  and

$$\left\{ \eta_n^{b(\beta_i^\nu, \beta_i^\tau)} : n \geq e_{\beta_i^\tau}(\beta_i^\nu) \right\} \subseteq \left\{ \eta_n^{\omega_1 \cap M} : n \geq \bar{m} \right\}.$$

Therefore, by the third condition of the number  $\bar{m}$ , for any  $\{\alpha, \beta\}_< \in [\tau]^2$  and any  $i \in n$ , the pair of the sets  $\{\alpha, \beta\}$  and  $\{\beta_i^\nu, \beta_i^\tau\}$  satisfies the condition (2).

Let  $\{i, j\} \in [n]^2$ . Then, by the previous observation,

$$b(\beta_i^\nu, \beta_j^\tau) \begin{cases} = \omega_1 \cap M & \text{if } \sigma(\beta_i^\nu, \beta_j^\tau) \geq e_{\beta_j^\tau}(\omega_1 \cap M), \\ \in L_1 & \text{otherwise.} \end{cases}$$

Therefore, for any  $\{\alpha, \beta\}_< \in [\tau]^2$  and any  $\{i, j\} \in [n]^2$ , the pair of the sets  $\{\alpha, \beta\}$  and  $\{\beta_i^\nu, \beta_j^\tau\}$  satisfies the condition (2).  $\square$

In the following proof, for each  $\tau \in [\omega_1]^{<\aleph_0}$ , define

$$L(\tau) := \{b(\alpha, \beta) : \{\alpha, \beta\}_< \in [\tau]^2\} \cap \text{Lim}.$$

$L(\tau)$  is a finite set of ordinals. For each  $\tau \in [\omega_1]^{<\aleph_0}$ ,  $m_\tau$  denotes the size of  $\tau$ , and let  $\{\beta_i^\tau : i \in m_\tau\}$  be the increasing enumeration of  $\tau$ .

**Theorem 2.3.**  $\mathcal{K}'_3$  implies  $\mathcal{U}(\text{stat})$ .

*Proof.* Let  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  be a ladder system, and  $\langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  a coloring of the ladder system  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$ . Define the set  $K_0$  that consists of all sets  $\{\alpha, \beta, \gamma\}_<$  in the set  $[\omega_1]^3$  with the property that the set

$$\left( f_{b(\alpha, \beta)} \upharpoonright \left\{ \eta_n^{b(\alpha, \beta)} : n \geq e_\beta(\alpha) \right\} \right) \cup \left( f_{b(\alpha, \gamma)} \upharpoonright \left\{ \eta_n^{b(\alpha, \gamma)} : n \geq e_\gamma(\alpha) \right\} \right)$$

forms a function. We show that  $K_0$  is a ccc partition.

Let  $I \in [[\omega_1]^{<\aleph_0}]^{\aleph_1}$  be an uncountable set of finite  $K_0$ -homogeneous sets, and  $M$  a countable elementary submodel of  $H_\kappa$  that contains the set

$$\{\langle e_\alpha : \alpha \in \omega_1 \rangle, \langle r_\alpha : \alpha \in \omega_1 \rangle, \langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle, \langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle, I, H_{\aleph_2}\}.$$

By elementarity of  $M$ , we can take  $\tau \in I \setminus M$  such that  $\omega_1 \cap M \notin L(\tau)$ , and can take  $\bar{\eta} \in \omega_1 \cap M$  such that

$$\bigcup_{\delta \in L(\tau)} (C_\delta \cap M) \subseteq \bar{\eta}.$$

Define the subset  $I'$  of the set  $I$  that consists of all sets  $\nu$  in  $I$  such that

- $m_\nu = m_\tau$ ,
- for any  $\{i, j\}_< \in [m_\tau]^2$ ,

$$b(\beta_i^\nu, \beta_j^\nu) \in \text{Lim} \iff b(\beta_i^\tau, \beta_j^\tau) \in \text{Lim},$$

and

- for any  $\{i, j\}_< \in [m_\tau]^2$ , whenever  $b(\beta_i^\sigma, \beta_j^\sigma) \in \text{Lim} \setminus M$ ,

$$f_{b(\beta_i^\sigma, \beta_j^\sigma)} \upharpoonright \bar{\eta} = f_{b(\beta_i^\tau, \beta_j^\tau)} \upharpoonright \bar{\eta}.$$

Then, since  $\tau \in I' \in M$  and  $\tau \notin M$ ,  $I'$  is uncountable. By applying  $I'$  and  $\tau$  to **Lemma 2.2**, we obtain  $J \in [I']^{\aleph_1} \cap M$  that satisfies the condition in the lemma. Then we can conclude that, for each  $\nu \in J$ ,  $\nu \cup \tau$  is  $K_0$ -homogeneous.

By  $\mathcal{K}'_3$ , there exists an uncountable  $K_0$ -homogeneous subset  $X$  of  $\omega_1$ . Take a continuous  $\in$ -chain  $\langle M_\xi : \xi \in \omega_1 \rangle$  of countable elementary submodels of  $H_{\aleph_2}$  such that  $M_0$  contains the set

$$\{\langle e_\alpha : \alpha \in \omega_1 \rangle, \langle r_\alpha : \alpha \in \omega_1 \rangle, \langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle, X\}.$$

Then the set  $D := \{\omega_1 \cap M_\xi : \xi \in \omega_1\}$  is club in  $\omega_1$ . For each  $\xi \in \omega_1$ , by **Lemma 2.1**, there are  $\beta_\xi \in X \setminus M_\xi$  and  $\alpha_\xi \in X \cap M_\xi$  such that  $b(\alpha_\xi, \beta_\xi) = \omega_1 \cap M_\xi$ . Then there are  $\bar{\alpha} \in \omega_1$  and a subset  $\Gamma$  of  $\omega_1$  such that

- for every  $\xi \in \Gamma$ ,  $\alpha_\xi = \bar{\alpha}$ , and
- the set  $S := \{b(\bar{\alpha}, \beta_\xi) : \xi \in \Gamma\}$  is a stationary subset of  $D$ .

Then, since  $X$  is  $K_0$ -homogeneous, the set

$$\bigcup_{\xi \in \Gamma} \left( f_{b(\bar{\alpha}, \beta_\xi)} \upharpoonright \left\{ \eta_n^{b(\bar{\alpha}, \beta_\xi)} : n \geq e_{\beta_\xi}(\bar{\alpha}) \right\} \right)$$

forms a function, and uniformizes the restricted coloring  $\langle f_\delta : \delta \in S \rangle$ .  $\square$

*Remark 2.4.* It follows from the proof of the previous theorem that the forcing notion of finite  $K_0$ -homogeneous sets in the proof satisfies the property  $\mathbf{R}_{1, \aleph_1}$  [12, 14], and so satisfies Chodounsky-Zapletal's Y-cc [1].

*Remark 2.5.* For a ladder system  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  and a coloring  $\langle f_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$  of the ladder system  $\langle C_\alpha : \alpha \in \omega_1 \cap \text{Lim} \rangle$ , define the set  $K_0$  that consists of all sets  $\tau$  in the set  $[\omega_1]^4$  with the property that, for any  $\{\{\alpha, \beta\}_<, \{\gamma, \delta\}_<\} \in [\tau]^2$ , the set

$$\left( f_{b(\alpha, \beta)} \upharpoonright \left\{ \eta_n^{b(\alpha, \beta)} : n \geq e_\beta(\alpha) \right\} \right) \cup \left( f_{b(\gamma, \delta)} \upharpoonright \left\{ \eta_n^{b(\gamma, \delta)} : n \geq e_\delta(\gamma) \right\} \right)$$

forms a function. As in the previous proof, it also follows from **Lemma 2.2** that  $K_0$  is a ccc partition. By the previous proof, we notice that an uncountable  $K_0$ -homogeneous set produces a club subset  $D$  of  $\omega_1$  as above and a function that uniformizes the restricted coloring  $\langle f_\delta : \delta \in D \rangle$ . It concludes that  $\mathcal{K}'_4$  implies  $\text{U}(\text{club})$ .

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